

# HYST: A Source Transformation and Translation Tool for Hybrid Automaton Models

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## ABSTRACT

A number of powerful and scalable hybrid systems model checkers have recently emerged. Although all of them honor roughly the same hybrid systems semantics, they have drastically different model description languages. This situation (a) makes it difficult to quickly evaluate a specific hybrid automaton model using the different tools, (b) obstructs comparisons of reachability approaches, and (c) impedes the widespread application of research results that perform model modification and could benefit many of the tools. In this paper, we present HYST, a Hybrid Source Transformer. HYST is a source-to-source translation tool, currently taking input in the SpaceEx model format, and translating to the formats of HyCreate, Flow\*, or dReach. Internally, the tool supports generic model-to-model transformation passes that serve to both ease the translation and potentially improve reachability results for the supported tools. Although these model transformation passes could be implemented within each tool, the HYST approach provides a single place for model modification, generating modified input sources for the unmodified target tools. Our evaluation demonstrates HYST is capable of automatically translating benchmarks in several classes (including affine and nonlinear hybrid automata) to the input formats of several tools. Additionally, we illustrate a general model transformation pass based on pseudo-invariants implemented in HYST that illustrates the reachability improvement.

## Categories and Subject Descriptors

D.2.4 [Software Engineering]: Software/Program VerificationModel checking

## General Terms

Verification

## Keywords

Hybrid systems, formal methods, reachability

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## 1. INTRODUCTION

Hybrid systems are mathematical models that combine discrete and continuous dynamics. This formalism can capture the behavior of a large range of real-world systems. For example, embedded systems [27] and biological systems [15, 17] can be modeled using the hybrid systems formalism. At the same time, the resulting system behavior requires a careful handling to ensure a precise yet time efficient analysis. A number of powerful and scalable model checkers have recently emerged [6, 12, 20, 22, 26]. They cover a number of hybrid system classes, e.g., affine vs. non-linear continuous dynamics and monolithic vs. automata networks. Furthermore, the analysis algorithms are built around different ideas and state representations, e.g., flow-pipe construction vs. decision procedures for differential equations. These design decisions make the tools particularly efficient in some settings, e.g., such as only for some classes of continuous dynamics.

We present an automatic source-to-source model converter from the SpaceEx input format to the Flow\*, HyCreate, and dReach formats. At present, direct comparisons between model checkers cannot be done out-of-the-box as the input languages are syntactically different. However, a manual comparison is possible because, although the input languages of the considered model checkers differ *syntactically*, they rely on the same behavioral *semantics*.

We envision HYST being used in three main ways. First, a user of verification tools can quickly generate a model file for a number of tools in order to find a tool that best fits the system under consideration. Second, a developer of hybrid systems model checkers can use HYST to both compare the performance of newly developed algorithms with other up-to-date analysis tools, as well as to quickly check for correctness against a common set of models and as part of a regression test suite. Third, researchers can write generic *model transformation passes* that modify the tool's hybrid automaton intermediate representation. Then, all supported tools can immediately benefit from these research advances, rather than having to reimplement new approaches piecemeal for each tool.

**Related Work.** In the last decade, several research groups have worked on approaches to unify the syntax of hybrid model checkers. Sangiovanni-Vincentelli et al suggest the hybrid systems interchange format (HSIF) [9, 10, 31, 32]. A further attempt to provide a common input language focused on the model composition has been undertaken within the FP7 Multiform project [2, 35]. The project resulted in the Compositional Interchange Format (CIF). Earlier efforts

for interchange formats were initiated for Charon [4]. The above outlined projects have in common an idea to collect all the features available in different hybrid model checkers and provide an input language which essentially subsumes the languages of every particular tool. Although having a common interchange language supported by all the tools would be an ultimate solution, this approach hinges on the willingness of the tool developers to support such an input format. Furthermore, the incorporation of a common format into an established tool by third party developers would be difficult due to the time overhead needed to get acquainted with the code of each particular hybrid model checker.

Alternative approaches include using other frequently-used languages as standard input formats. Agrawal et al. [1] suggest an algorithm to translate Simulink and Stateflow models (SSM) into the equivalent HSIF models. In a slightly different setting, Schrammel et al. [34] consider the translation problem for complex SSMs where involved treatment of zero-crossings (enabling conditions for guards) is needed. Chen et al. [11] provide a translation from Stateflow to CSP [25]. Alur et al. [5] propose to use the symbolic analysis in order to improve the test coverage of SSMs. In this scope, a considered SSM is converted to a linear hybrid automaton. Assuming that a SSM can be converted to a hybrid automaton, our tool can principally use the resulting automaton as its input. Mathworks, unfortunately, does not provide any rigid operational semantics for its tools. This makes the model translation process error-prone and ambiguous, whereas we are mostly concerned with the formal verification of a given model. Other recent languages include the HYbrid systems with Discrete Interaction (HyDI) language, which is an extension of the SMV input language [13]. Recent converter initiatives include a converter from Ptolemy II to SpaceEx [33], and the HyLink converter from SSMs to hybrid automata [28].

## 2. HYBRID MODEL CHECKERS

HYST allows for the same model to be analyzed in several hybrid system model checkers. Each tool has unique characteristics and will have varying performance depending on the features of the model. In this section a brief comparison of the different tools supported by HYST is given, along with their different features. For syntax and semantics, we assume the hybrid automaton framework [3], particularly the same setup as defined for SpaceEx (modulo explicitly allowing nonlinear polynomials for expressions) [20].

### 2.1 SpaceEx

SpaceEx [20] is a reachability tool for affine hybrid systems. It operates on symbolic states comprising of a discrete location and a continuous region. Its reachability algorithm can be represented as a fixed point computation:  $\mathcal{S}_0 := \text{post}_c(\text{Init})$ ,  $\mathcal{S}_{i+1} := \mathcal{S}_i \cup \text{post}_c(\text{post}_d(\mathcal{S}_i))$ , where *Init* stands for the initial states of the considered hybrid system,  $\text{post}_c(\mathcal{S})$  denotes the continuous post operator and  $\text{post}_d(\mathcal{S})$  is the discrete post operator.

The continuous post operator in SpaceEx can be done in one of three scenarios: PHAVer [18], LGG [20], or STC [19]. The PHAVer scenario uses the constraint polyhedra representation. The reachability computation is exact for the class of hybrid systems with piecewise constant dynamics as the PHAVer scenario uses the exact arithmetic, although for affine dynamics the computed reachable sets of states is

rather coarse because the tool internally abstracts the affine dynamics with piecewise constant ones. LGG performs better on systems with affine dynamics through computation of linear maps, Minkowski sums and convex hulls, using a support function representation. The STC scenario extends the ideas of the LGG scenario. It introduces the notion of a *flowpipe sampling*. A flowpipe sampling maps every time moment to a polyhedral enclosure of the states reachable at that moment. In other words, the algorithm attributes to every time moment the values of the support function on the predefined template direction set.

### 2.2 HyCreate

HyCreate [6] is a tool which computes reachable states in a way similar to mixed face-lifting [14], combined with support for pseudo-invariants [7]. This technique works by over-approximating the reachable set of states by moving the faces of a tracked polytope outward at the maximum derivative near each face. Neighborhoods around each face are constructed, and then the maximum derivative in the outward direction is considered along each face. Time is advanced in such a way that guarantees no trajectories leave the constructed neighborhoods. After time is advanced, a new polytope provides a bound on the reach state at some future time instant and the process repeats.

In HyCreate, states are tracked as N-dimensional rectangles (intervals aligned with the axes). This can lead to wrapping-effect error [29] for larger time bounds, which is somewhat controlled though splitting tracked boxes into smaller states. However, for large dimensional systems the boxes may need to be split into an exponential number of smaller boxes, such that the work needed to evaluate a certain passage of time keeps increasing. Thus, it works best for low-dimensional systems. In HyCreate, a limit can be set to prevent splitting if too many boxes are being tracked, which has the effect of letting the computation proceed at the price of over-approximation error.

### 2.3 Flow\*

Flow\* [12] is a tool which computes reach sets for nonlinear hybrid systems using Taylor models [30] as a state-space representation. The set of states is over-approximated at each point in time using a single Taylor model with an order that is configurable. A Taylor model is a polynomial with interval terms for each of the variables, along with an interval bloating term.

Time is advanced in Flow\* by repeated application of the Picard iteration. This requires symbolically integrating the Taylor model, which is not difficult since it is a polynomial (or a polynomial Taylor expansion for non-polynomials). The error interval is bloated to encompass the result after a certain number of iterations (the Taylor model is trimmed to have a maximum order, and the remaining terms are pushed into the remainder interval). Time can then be advanced again from the newly obtained Taylor model. Taylor models work well even for medium-dimension ( $\sim 10$ ) nonlinear systems, as long as the set of states being tracked remains relatively small. For high accuracy, a high order is desired. However, in high dimensions a lower order might be necessary because the number of combinations of variables grows quickly, so the number of terms in each polynomial can grow as well; it is not uncommon to get hundreds of terms being tracked for each variable.

Tool	Dynamics	Extra Inputs	Representation	Problem
SpaceEx (PHAVer)	Affine	n/a	Polyhedra	Unbounded reachability
SpaceEx (LGG)	Affine	Sampling time	Support functions	Unbounded reachability
SpaceEx (STC)	Affine	Sampling time	Support functions	Unbounded reachability
Flow*	Nonlinear	Order Cutoff, Error Interval, Time Step	Taylor models	Bounded reachability
HyCreate	Nonlinear	Min/max derivatives, Time Step	Hyper-rectangles	Bounded reachability
dReach	Nonlinear	Max time, bad states	SMT	Bounded model checking

Table 1: Summary comparison between tools supported by HYST.

## 2.4 dReach and dReal

dReach is a tool for bounded reachability analysis (bounded model checking [BMC]) of hybrid systems [22] that uses the dReal satisfiability modulo theories (SMT) solver for  $\delta$ -complete decidability queries over the reals [21]. In dReach, after conversion to the SMT representation, the continuous variables of a hybrid automaton are represented as nullary real-valued functions (i.e., symbolic real constants). The locations of a hybrid automaton are also represented as nullary real-valued functions, albeit their constraints ensure they correspond to bounded integers to create a one-to-one correspondence with the finite set of locations. The continuous dynamics are specified as ODEs, and invariants over continuous variables are supported. Sets of states are represented symbolically as formulas over these variables. The inputs to dReach are a hybrid system model, an integer bound  $k \geq 0$ , and a safety property  $\phi$ , and dReach unrolls the transition and trajectory relations  $k$  times to check if  $\phi$  is reachable in *exactly*  $k$  iterations.

## 2.5 Tool Comparison

A summary of the output tools and comparison between them appears in Table 1.

**Verification Problem.** Tools may be classified by the verification problem they address. All of the SpaceEx scenarios target unbounded reachability for enabling verification primarily of safety properties (invariants). Additionally, as unbounded reachability is a generalization of time-bounded reachability, SpaceEx may solve the time-bounded reachability problem. All the other tools focus on time-bounded verification.

**Networks and Compositions of Hybrid Automata.** SpaceEx supports composing networks of hybrid automata, whereas the other tools work with flat automata. SpaceEx does include preliminary support for flattening which can be used to interface with the other tools.

**State Representation and Error Control.** All tools maintain symbolic representations of reachable states, although the specific representation and its possible error control capabilities differ. For example, SpaceEx uses either polyhedra (in PHAVer scenario) or support functions (in STC and LGG scenarios). Flow\* works with Taylor models, HyCreate uses sets of hyper-rectangles, and dReach utilizes symbolic formulas in the SMT-LIB standard (effectively nonlinear real arithmetic with transcendentals) [8].

**Flows, Invariants, Guards, Resets.** SpaceEx supports affine functions for flows (as affine ODEs), invariants, and resets. The SpaceEx syntax, however, does not restrict from using nonlinear functions (although the tool itself will not compute reachability for such models). Therefore, we can define nonlinear functions in SpaceEx XML and translate them to the corresponding tool with HYST. Flow\*, HyCreate, and dReach support nonlinear flows, invariants, and resets. All tools use may transition semantics, so urgency may be modeled by modifying the invariant and guard conditions appropriately.

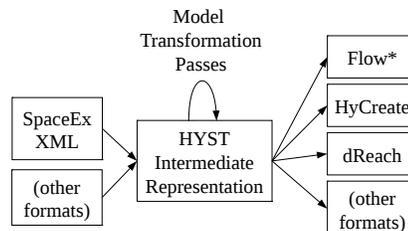


Figure 1: HYST conversion architecture. SpaceEx XML models specifying hybrid automata are translated to an intermediate representation (IR) inside HYST, where model transformation passes are performed. Then, the hybrid automaton is output in different syntax for input to other tools, including HyCreate, Flow\*, and dReach. It is not shown, but the SpaceEx configuration file is also used, where, e.g., initial states and potentially bad states are specified.

**Comparison Challenges.** Given that HYST can translate the same model to multiple tools, a reasonable question is which tool is the best for a particular model. A comparison between tools is not a straightforward operation. First, the problems the tools solve differs. SpaceEx typically solves an unbounded verification problem, and typically terminates based on a fixed-point check. Flow\* and HyCreate solve time-bounded reachability, while dReach solves time-bounded verification problems in a bounded model checking manner. One way to force termination of SpaceEx to compare to time-bounded reachability tools is to add a time variable  $t = 1$  and add an invariant in every location that  $t \leq T_{max}$ . Typically this is achieved by composing the original SpaceEx hybrid automaton model of interest with a single-location timed automaton with  $\dot{t} = 1$  and invariant  $t \leq T_{max}$ , which after composition ensures every location has the time variable with dynamics  $\dot{t} = 1$  and invariant  $t \leq T_{max}$ . Adding such a variable, however, may affect tool performance.

Another issue is subtle differences in allowed tool semantics. In SpaceEx, for example, a location without defined dynamics means that every continuous state is possible. Other tools may not support this directly, and this could be either expressed using a non-deterministic assignment, or simply rejected during generation.

Finally, the outputs of the tools are typically in the internal representation of the tools, and therefore difficult to compare. A conversion to a common format, for example the bounding box at a point in time, needs to be done prior to comparison.

## 3. CONVERTER ARCHITECTURE

The conversion architecture used in HYST is shown in Figure 1. The tools takes as input a source file, parses it to an intermediate representation (IR), then prints the resulting output source format desired. Using the SpaceEx format has a number of practical advantages: several available examples

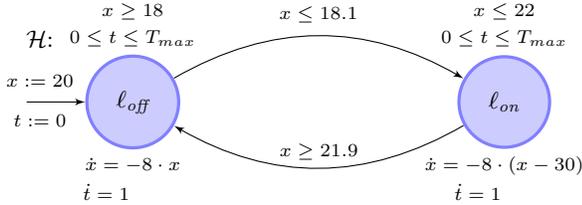


Figure 2: Thermostat/heater example hybrid automaton.

already exist in the format, there is a visual model editor for these files, SpaceEx can import from the CIF format [23] and output SpaceEx XML, and there is preliminary support in SpaceEx for hybrid automata flattening. As an input format, the grammar specifying flows, guards, invariants, and resets of the automata does not have any restrictions on being affine, so we use the input format of SpaceEx for HYST and allow for nonlinear expressions. We note that in its current version, however, SpaceEx cannot analyze nonlinear examples as the algorithms it uses do require affine expressions. In terms of output formats, the supported tools are Flow\*, HyCreate (both reachability and simulation), and dReach, and output to other tools is part of our planned future work.

Internally, the IR is currently a set of data structures in Java which encode the modes, transitions, continuous variables, flow differential equations, guards, and invariants. The intermediate representation may be modified prior to output for a specific tool’s format through model transformation passes. Passes can be viewed as model-to-model conversions. Some passes can aid in the exporting process. For example, dReach requires that identity resets are explicitly defined, whereas other tools do not. A model transformation pass is therefore run before writing a dReach source file which adds identity resets to transitions which did not define them. Another model transformation pass can be used to check and rename variables that are disallowed keywords for specific tools. Finally, model transformation passes can be used to modify the reachability computation itself. For example, the method of pseudo-invariants [7] has previously been shown to improve the accuracy and speed of the reachability computation for multiple tools. Implementing it as a model transformation pass allows all supported tools to be able to use the technique. An example using this pass is shown later in Section 4. Other candidate passes we plan on implementing include over-approximating abstraction techniques, such as hybridization. Once implemented in HYST, these techniques would not need to be reimplemented for each tool to use the approach, saving implementation effort and reducing the likelihood of mistakes.

## 4. RESULTS

In the introduction, three classes of users were considered for HYST: (1) Users of verification tools, (2) developers of the tools, and (3) researchers who develop general techniques which may be applicable to a wide variety of tools.

### 4.1 Users of Verification Tools

Users can use HYST as a quick way to create workable model files for all of the supported tools. We used HYST to convert numerous hybrid system models from different classes, including typical affine and nonlinear examples. For the purposes of illustration, we use the example of a heater system interacting with the temperature in a room measured

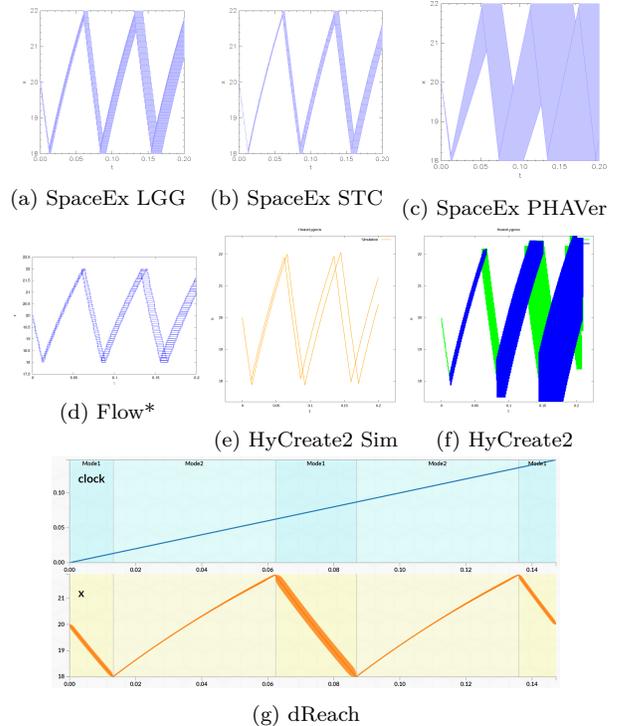


Figure 3: Default reach set visualizations for thermostat/heater hybrid automata example from Figure 2 for the various output model files and tools.

by a thermostat, as shown in Figure 2 [24]. Although a simple system, it is illustrative of most of the features found in hybrid automata including invariants, guards, flows, and non-determinism. More complicated benchmarks have been converted using HYST, however this system is sufficient for reach-set illustration.

This system was converted by HYST into the input format of the various tools. Each tool was then run on the files, producing a reach set as the output. The graphical results for each of the tools are shown in Figure 3. For all tools except dReach, these figures correspond to reachable states. For dReach, the image corresponds to a witness counterexample execution that leads to a bad (goal) set of states. These models serve as initial starting points for users who want to analyze a model, as they can immediately see the rough performance of the various tools. As described earlier, the tools contain tool-specific parameters which, after generation using HYST, can be further tweaked by the user.

We currently have about a dozen benchmarks which can be run through the translator and executed by the various tools. These examples range from biological systems, neuron models, power converters, and typical systems for evaluations of nonlinear reachability methods. The full set of examples and their converted models are available on the HYST website<sup>1</sup>. The conversion process for each model takes less than a second and is negligible when compared with the reachability computation runtime.

### 4.2 Tool Developers

For tool developers such output can also be illuminating. One unexpected finding was that the visualization output

<sup>1</sup>HYST and examples are available at: <http://www.verivital.com/hyst/>

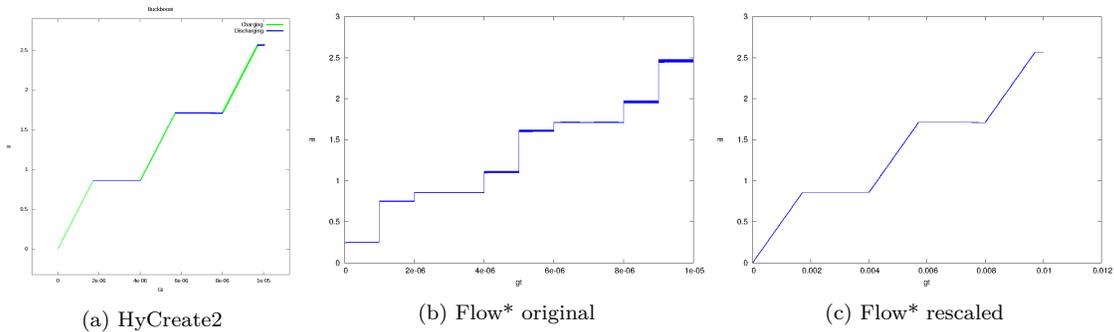


Figure 4: The reach set computed by HyCreate2 and Flow\* appears to differ. By rescaling time in the Flow\* model, this is confirmed as a result of the number of decimal digits Flow\* outputs, rather than a bug in the tool.

for a buck-boost converter differed between HyCreate2 and Flow\* for the same model, shown in Figure 4. If the produced reach sets do not intersect, this is indicative of a bug in one of the tools (or in the translation process). In this case, the issue was not caused by the reachability algorithm but rather by the visualization output of Flow\* being strictly six digits after the decimal point, which is not sufficient for the time scales considered for this fast-switching system. This was confirmed by rescaling time in the model file (using milliseconds as the X-axis instead of seconds), which corrected the visualization of the reach set.

### 4.3 Researchers

Many research results are applicable to general hybrid automata models, and not only a specific tool. Reimplementing these results in each tool would require significant effort, and be an error prone process. Piecemeal implementation of such results is also problematic because it is not clear if a tool is superior to another one because of an optimization performed on the model, or the underlying algorithm, or due to subtle differences in the implementation of the technique. By implementing generic model transformations in HYST, effort and errors can be reduced, and a more fine-grained comparison of tools becomes possible.

For example, the time-scaling performed on the buck-boost system in Figure 4 was done using a time-scaling model transformation pass. Using a command-line flag to HYST, the user can select the pass to perform and time scale desired, and the output model will be modified accordingly.

Another model transformation pass implemented in HYST is the insertion of pseudo-invariants (PI) [7]. This method splits an individual mode of a hybrid automaton into several using a set of provided conditions (called pseudo-invariants). The modes after splitting have identical dynamics to the mode they came from, and the transformed automaton is bisimilar to the original automaton. However, these artificial discrete transitions allow accumulating the set of states being tracked for tools that use flow-pipe construction methods. This can, in certain cases, serve to increase computation accuracy and reduce computation time.

To demonstrate this pass, we used the 2-d nonlinear model of a FitzHugh-Nagumo Neuron, using the dynamics and initial states given by Dang et al. [16]. This system was converted to both Flow\* and HyCreate2 (which support nonlinear dynamics). Without pseudo-invariants, neither tool can complete a single cycle within the state-space of the system due to accumulated error. By passing the appropriate flag and parameters to HYST, a modified model is produced, for

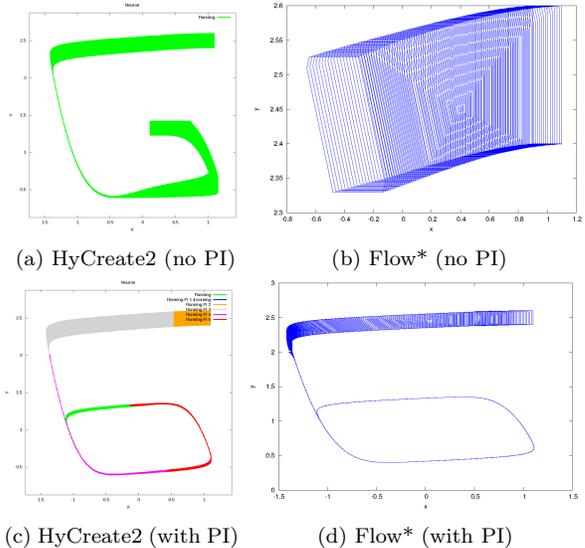


Figure 5: A single implementation of pseudo-invariants within HYST improves reachability computation for both Flow\* and HyCreate2.

which an improvement in computation is visible for both of the tools. The reachability plots with and without the PI pass are shown in Figure 5. Other model-transformations passes that we plan to implement include variants of hybridization.

## 5. CONCLUSION

In this paper, we present a source-to-source conversion tool called HYST for hybrid automata. The tool is capable of quickly converting a model to a number of hybrid system model checking tools. Additionally, it supports model transformation passes, which serve to both ease conversion, and allow generic application of model-transformation research results.

As future work, we plan to extend HYST to more case studies and more tools, and we welcome contributions of tool authors interested to integrate in the HYST framework. The limitations of the converter include a lack of compositions beyond using SpaceEx to compute the composition (which may in general blow up), and some limitations in automation for comparing different tools. Additionally, as the language of SpaceEx corresponds closely to the hybrid automata modeling framework, other tools that support more general language definitions may be better suited as the source input format, and we can envision extensions of HYST as a general

source-to-source translation framework. Once composition is better supported, we can envision integrating other tools like Passel [26].

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